

**AMENDED**  
**SUBSTITUTE ORDINANCE**

**WHEREAS**, the City of Chicago is a home rule unit of government pursuant to the 1970 Illinois Constitution, Article VII, Section 6 (a); and

**WHEREAS**, pursuant to its home rule power, the City of Chicago may exercise any power and perform any function relating to its government and affairs including promoting the quality of life and the welfare of its citizens; and

**WHEREAS**, currently, there are about 600 lobbyists registered with the City of Chicago's Board of Ethics representing 1,150 clients; and

**WHEREAS**, according to Chicago's Board of Ethics, in 2008 lobbyists reported compensation of approximately \$16,836,370; and

**WHEREAS**, lobbyists work to influence the legislative process and should therefore be knowledgeable and in compliance with the rules and laws of the City of Chicago, specifically the Governmental Ethics Ordinance; and

**WHEREAS**, lobbyists are required to register with the Board of Ethics by filing a Statement of Registration within five business days of engaging in lobbyist activity and annually thereafter by January 20<sup>th</sup>, and by filing semi-annual reports of their lobbyist activities; and

**WHEREAS**, the Board of Ethics' mission is to promote and maintain ethical conduct consistent with the standards embodied in the Governmental Ethics Ordinance of the Municipal Code of Chicago; and

**WHEREAS**, it would be beneficial for all involved in the legislative process to be taught municipal ethics; and

**WHEREAS**, ethics training would help to ensure that lobbyists' conduct is in accordance with the Municipal Code of Chicago; and

**WHEREAS**, Chicago would be the first city in the United States to require lobbyists to participate in ethics training on an annual basis; and

**WHEREAS**, lobbyists should be held to the same standard as those involved in the legislative process with the City are held to; now, therefore

**BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:**

**SECTION 1.** The above recitals are expressly incorporated herein and made part hereof as though fully set forth herein.

**SECTION 2.** Chapter 2-156 of the Municipal Code of Chicago is hereby amended by creating a new section 2-156-146 and inserting the language underscored as follows:

**2-156-146 Lobbyist Ethics Education Seminar.**

Each lobbyist shall be required to complete in each consecutive twelve month period an ethics education training course developed by the Board of Ethics. Such a course may be offered in-person, through an internet-based program, or other manner prescribed by the Board of Ethics. Any lobbyist who fails to comply with this section shall be subject to a fine not less than \$750.

**SECTION 3.** Section 2-156-230 of the Municipal Code of Chicago is hereby amended by inserting the language as underscored and by deleting the language as struck through as follows:

**2-156-230 Information Required of Registrants.**

No later than January 20<sup>th</sup> of each year, or within five business days of engaging in any activity which requires such person to register, every person required to register shall file with the board of Ethics a sworn written statement on a form prescribed by the Board containing the following information:

- (a) The registrant's name, permanent address and temporary address (if any) while lobbying;
- (b) With respect to each client and each business entity on behalf of which the registrant expects to act as a lobbyist:
  - (i) The name, business address, permanent address and nature of the business of the client or business entity;
  - (ii) Whether the relationship is expected to involve compensation or expenditures or both; and
  - (iii) The name of each City agency before with the registrant expects to lobby.

(c) If such registrant is retained by another business entity pursuant to a written agreement of retainer or employment, a copy of such agreement shall be attached. If the agreement of retainer is oral, a written statement of the substance thereof shall be attached.

(d) Beginning with the Lobbyist Registration for 2010, the registration statement shall be accompanied by a registration fee of \$350.00 ~~\$200.00~~ per person identified as a lobbyist in the registration statement. In addition to this registration fee of \$350.00, there will also be an annual fee of \$75.00 for each additional registered client after the first client.

**SECTION 4.** Section 2-156-245 of the Municipal Code of Chicago is hereby amended by inserting the language as underscored and by deleting the language as struck through as follows:

**2-156-245 Failure to Register.**

When the Board of Ethics determines that any person has failed to register as required in this Article, the Board of Ethics shall notify such person in person or by certified mail of his failure to register. Such person shall be subject to a fine of \$1000.00 ~~\$500.00~~. In addition, any person who fails to register within 10 days of the issuance of the notice shall be required to pay an additional fine of \$1000.00 ~~\$500.00~~ for each day thereafter until the date of registering.

The Board of Ethics shall not accept a lobbyist registration statement from any person who owes a fine pursuant to this section until the fine has been paid in full.

**SECTION 5.** Section 2-156-270 of the Municipal Code of Chicago is hereby amended by inserting the language as underscored and by deleting the language as struck through as follows:

**2-156-270 Failure to File Reports.**

If a registrant fails to file a report as required herein, the Board of Ethics shall, within 15 days of the due date, notify the registrant by certified mail of his failure to file by the required date. The registrant shall thereafter file his report within 10 days of the issuance of the notice. Any registrant who fails to file within the 10 days shall be required to pay a penalty of \$1000.00 ~~\$500.00~~ for each day thereafter until the date of filing. Failure to file within the 10 days shall constitute a violation of this chapter.

Any registrant who is required to file a report hereunder may affect one 30-day extension of time for filing the report by filing with the Board of Ethics, not less than 10 days before the date on which the statement is due, a declaration of his intention to defer the filing of the report. The filing of such declaration shall suspend application of the penalty provisions contained herein for the duration of the extension. Failure to file by the

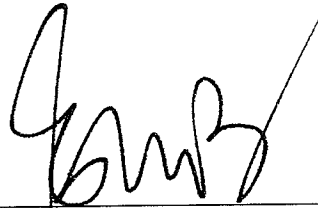
extended date shall constitute a violation of this chapter and shall require payment of a penalty of \$1000.00 ~~\$500.00~~ per day thereafter.

The Board of Ethics shall not accept a lobbyist registration statement from any person who owes a fine pursuant to this section until the fine has been paid in full. The registration of any person who fails to file a timely report for three or more reporting periods may be suspended by the board for a 1 year period.

**SECTION 6.** Following due passage and approval of this ordinance, the following effective dates shall apply:

With regard to Section 2, regarding the Lobbyist Ethics Education Seminar, this Section shall take effect on July 1, 2010.

With regard to Sections 3, 4, and 5, these Sections shall take effect on January 1, 2010.



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Alderman Edward M. Burke  
14<sup>th</sup> Ward

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**PASSED** by the City Council of the City of  
Chicago and deposited in the office of the  
City Clerk of said City.

OCT - 7 2009

*Miguel del Valle*  
City Clerk City of Chicago